

AO 120 (Rev. 3/04)

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| TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 | SOLICITOR SEP 24 2007 REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
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U.S. PATENT & TRADEMARK OFFICE

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
 filed in the U.S. District Court Western District of Washington on the following ☒ Patents or ☐ Trademarks:

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|---|----------------------------------|---|
| DOCKET NO. C07-1475RSM | DATE FILED September 20, 2007 | U.S. DISTRICT COURT Western District of Washington |
| PLAINTIFF ShareBuilders Corp. Et al. | | DEFENDANT FOLIOfn, Inc. Et al. |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 7,110,971 B2 | September 19, 2006 | FOLIOfn, Inc. |
| 2 6,996,539 B1 | February 7, 2006 | " |
| 3 6,601,044 B1 | July 29, 2003 | " |
| 4 7,117,176 B2 | October 3, 2006 | " |
| 5 | | |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| | | | |
|----------------------------|---|-------------------------------|--|
| DATE INCLUDED | INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading | | |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK | |
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In the above—entitled case, the following decision has been rendered or judgement issued:

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| DECISION/JUDGEMENT |
|--------------------|

| | | |
|---|-------------------|----------------------------|
| CLERK Bruce Rifkin by Deputy Clerk Melody Byrd | (BY) DEPUTY CLERK | DATE September 24, 2007 |
|---|-------------------|----------------------------|

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy